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Box–Behnken design-assisted optimization of RP-HPLC method for the estimation of evogliptin tartrate by analytical quality by design



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Abstract

Background A quality by design approach can potentially lead to a more robust/rugged method development due to emphasis on the risk assessment and management. By carefully understanding the step-by-step procedure for analytical QbD-based optimization parameters, such as analytical target profile and critical quality attributes (CQAs), was assessed. The present study describes the simple, rapid, sensitive and cost-effective RP-HPLC method development and validation for the estimation of evogliptin tartrate in pharmaceutical dosage form.

Results The factor screening studies were performed using Box–Behnken design by three key components of the RP-HPLC method (mobile phase, pH and flow rate). The chromatographic conditions were optimized with the Design Expert software trial version 13.0. The optimal chromatographic separation was achieved having water C18 column (250 mm×4.6 mm, 5 μ) and using mobile phase as a methanol and phosphate buffer (pH 4.5) 60:40% v/v with a flow rate 1.0 ml/min and UV detection at 267 nm. The Box–Behnken experimental design describes the interrelationship of mobile phase, pH and flow rate at three different levels, and responses of retention time and tailing factor were observed with response surface plot and statistical data. The developed method was validated as per recommended ICH guidelines which revealed the high degree of linear, precise, accurate, sensitive and robust method over the existing RP-HPLC method for evogliptin tartrate.

Conclusion The developed QbD-based method helped in generating a design space and operating space with knowledge of all method performance characteristics, and RP-HPLC method takes less time and can be used in the industry for routine quality control of bulk and marketed formulation of evogliptin tartrate.

Keywords Analytical quality by design (AQbD), Box–Behnken, Design of experimental (DOE), Evogliptin tartrate (EVO), Method validation, RP-HPLC

Background

Evogliptin tartrate is a potent orally bioavailable and selective class of DPP-IV (dipeptidyl phosphate-IV) inhibitors with anti-diabetic class. In clinical practice,

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gliptins have proved to be safe and effective oral drugs reducing glucose level in type-2 diabetes patients [1–3]. EVO is a DPP-IV inhibitors that reduce degradation of endogenous glucagon-like peptide 1 (GLP-1) to increase insulin secretion and decrease glucagon. Evogliptin tartrate is effective in improving glycosylated haemoglobin (HbA1c) and fasting plasma glucose without inducing hypoglycaemia events [4–7].

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IUPAC name of EVO is (3R)-4-[(3R)-3-amino-4-(2,4,5trifluorophenyl)butanoyl]-3-[(2-methylpropan-2-yl) oxymethyl]piperazin-2-one;(2R,3R)-2,3-dihydroxybutanedioic acid (Fig. 1) [8–10]. It is marketed as VALERA generic name coined by Alkem Laboratories, for the treatment of type-II diabetes mellitus as a monotherapy or in combination with metformin HCl. The usual adult dosage is 5 mg of evogliptin tartrate administered orally once daily. It is available as white amorphous powder, practically soluble in water, methanol and exhibits high oral bioavailability owing to extensive hepatic first-pass effect, and CYP3A4 plays the major role in hydroxylation of evogliptin to M7 and M8, and UGT2B7 plays the major role in glucuronidation of M7 to M16 [11].

According to the literature reviews, few analytical methods [12–16] such as UV [17, 18] and HPLC have been reported for the estimation of evogliptin tartrate in bulk and pharmaceutical dosage form but no one has used quality by design approach. The major objective of AQbD has been identified failure modes and established robust method with operable design space throughout the product life cycle management [19, 20]. The QbD method was based on the understanding and implementation of guideline ICH Q8 pharmaceutical development, ICH Q9 quality risk management and ICH Q10 pharmaceutical quality system [21–23].

The current work intends to develop and optimize the RP-HPLC method by employing analytical QbD principles for the estimation of evogliptin tartrate pharmaceutical dosage form. Implementation of QbD principle for analytical method development has been practised quite popular for attaining the high robustness and enhance method performance. The QbD method was based on the understanding and implementation of guideline ICH Q8 pharmaceutical development, ICH Q9 quality risk management and ICH Q10 pharmaceutical quality system.

Methods

Chemicals

The evogliptin tartrate sample was provided by Alkem Laboratories Limited, Sikkim, India. The commercially available tablet formulation of Valera (evogliptin tartrate 5 mg) was used for assay. HPLC grade methanol and water were purchased from Merck, Mumbai, India, for the study.

Instrumentation and chromatographic conditions

A Shimadzu high-performance liquid chromatography system fitted with quaternary solvent manager, a solvent degasser, sample manager, PDA detector controlled by LC solution software, cooling autosampler and column oven enabling temperature control of the analytical column was used for the method development. All precision weighing was performed on Shimadzu analytical balance. Chromatographic separation was performed on a reverse phase water C18 column (150 mm \times 4.6 mm) having 5.0-µm particle size. Isocratic elution was used with methanol:phosphate buffer (pH 4.5) in the ratio 60:40% v/v as a mobile phase. The flow rate kept at 1 ml/min, and elutes were supervised using PDA detector at 267 nm. Design Expert 13.0 trial version was used for designing experiments.

Preparation of standard stock solution

Stock solution of evogliptin tartrate (1000 μ g/ml) was prepared by dissolving accurate quantity of 25 mg of evogliptin tartrate in 25 ml of methanol. Using this stock solution, various working solutions (10–60 μ g/ml) were prepared by the serial dilution with methanol. The prepared all solution were filtered through a nylon filter (0.45 μ) and subjected to chromatographic analysis for preparing the calibration plot.



Fig. 1 Molecular structure of evogliptin tartrate

Preparation of sample solution

Accurately weighed equivalent quantity to 25 mg of evogliptin tartrate in a 25 ml of volumetric flask. Transfer 15 ml of methanol into flask and shake well properly then sonicate for 15 min. The flask was shaken and made up to the mark with diluent. The above solution was filtered through Whatman filter paper (0.45 μ). The solution was made up to the mark with diluent to give a solution containing 20 μ g/ml of EVO and analysed it by proposed method.

Selection of detection wavelength

EVO standard solution was scanned in the range of 200–400 nm, and wavelength maxima 267 nm were selected as a detection wavelength.

Force degradation study

Forced degradation is a procedure that includes the degradation of drug molecule at different stress conditions that can be used to find out the stability of the drug substance.

Acidic and alkali degradation

For acidic and alkali degradation, stock solution of EVO was taken into separate flasks and added 0.1 N HCl and 0.1 N NaOH, respectively; then, the volumetric flasks were kept at 60 °C in reflux condition 1 h. For oxidation degradation study, transferred the stock solution of EVO into separate flask and added 30% v/v of hydrogen peroxide into it then volumetric flask was kept in dark place for 3 h. For thermal study, standard EVO powder was placed in an oven at 100 °C for 24 h. For photostability degradation, standard EVO powder was placed in UV chamber, and the drug was exposed to UV rays for 72 h. All samples were diluted to obtain a final concentration of 20 μ g/ml of EVO.

Method development through QbD approach Defining the quality target profile

For analytical method, development APT (analytical target profile) plays an important role for identifying the variables that are needed to ensure the quality characteristics and purpose of the analytical method. Analyst, sample and various analytical techniques are the part of target profile for efficient method development.

Determine critical quality attributes (CQA)

In AQbD analysis, CQAs are selected on the basis of which techniques used for method development (RP-HPLC) and method intent (e.g. assay and drug release

Table 1 Selection of level for BBD design

Independent variable	Level		
	+1	0	-1
% Organic modifier (ml)	65	60	55
рН	5.0	4.5	4.0
Flow rate (ml/min)	1.2	1	0.8

determination). Tailing factor, theoretical plate count, resolution, retention time and % recovery are the CQAs for the assay determination method.

Identify critical method parameters (CMP) and risk assessment of method

CMPs are the sensitivities associated with the analytical method. CMPs have a direct relation with CQAs. CMPs can be classified on the basis of different analytical techniques such as GC, HPLC and HPTLC. For RP-HPLC method, % organic modifier in mobile phase, pH of mobile phase, column temperature, flow rate and injection volume are the various CMPs for the method development.

Risk assessment provides probable interactions with CMPs and assessing the probabilities of successive failure. An Ishikawa fishbone diagram was sketched to determine the potential risks, and root causes that effect on the performance of RP-HPLC.

Design of experiment (DOE)

For DOE selection, response surface design was selected with compared to factorial design. Box–Behnken was chosen for further study because this study gave good interaction with three-factor and three-level design with minimum runs as compared to central composite design. On the basis of factor screening studies, the method performance was optimized using Box–Behnken response surface design at three levels, i.e. low (-1), intermediate (0) and high (+1). Selected CMPs factors (% organic modifier, flow rate and pH of buffer) are selected as an input variable while CQAs (retention time and tailing factor) are selected as a response variable in DOE (Table 1).

A design matrix listing 15 experimental runs was generated using Design Expert tools. The various interaction effect and quadratic effects of the % organic modifier, pH and flow rate on the retention time and tailing factor were studied using Box–Behnken screening design (Table 2).

Analytical method development

The developed method was validated as per ICH guidelines for linearity, accuracy, precision, specificity and robustness, limit of detection and limit of quantification [23].

Linearity

Linearity of the developed method was determined by analysing the serial dilution of stock solution of EVO between 10 and 60 μ g/ml and plotting the calibration curve of peak area against concentration of EVO. Linearity of the method was confirmed by the regression statistics analysis on the obtained data using MS Excel spreadsheet.

Precision

Precision of the developed method was assessed by the three different concentrations of EVO (20 μ g/ml, 30 μ g/ml and 40 μ g/ml) at different time intervals on the same day (intraday precision) and by consequent days (\iInterday precision). Calculate the % RSD of the all data.

Accuracy

Accuracy was determined by addition method. Sample solution of EVO (20 μ g/ml) was spiked with 80% (16 μ g/ml), 100% (20 μ g/ml) and 120% (24 μ g/ml) of the std. EVO. Further, recovery and % RSD were calculated to check the accuracy of data.

Limit of detection (LOD) and limit of quantification (LOQ)

LOD and LOQ were determined from the slope of calibration plot and standard deviation of the response to the blank sample.

Formula of LOD and LOQ is,

Table 2 Optimization of parameters using BBD design

 $LOQ = 10 \times \sigma/s$

where σ = standard deviation and *s* = slope of calibration curve.

Robustness

Robustness of the method was determined by deliberate change in the system suitability parameters, i.e. alteration volume of mobile phase, flow rate and injection volume. A working solution 20 μ g/ml was used for all experimental run of robustness parameter.

System suitability

The system suitability was determined by six replicate analyses of std. EVO (20 μ g/ml) followed by estimation of SD and % RSD of peak area, tailing factor, retention time and theoretical plate.

Results

Method development studies

As per the literature reports, the developing LC method describes the use of complex mobile phase with different compositions (containing solvents such as methanol, water, acetonitrile and phosphate buffer at a particular pH), variable flow rate (isocratic/gradient), column (C₈ and C₁₈), temperature setting, etc., for chromatographic separation.

The preliminary studies suggested the selection of methanol and phosphate buffer (pH 4.5) as suitable

Std.	Run	Factor 1	Factor 2	Factor 3	Response 1	Response 2	Response 3
		A: % Organic modifier (ml)	B: pH	C: Flow rate (ml/min)	Retention time (min)	Tailing factor	Theoretical plate
9	1	60	4	0.8	4.128	1.312	2878
7	2	55	4.5	1.2	2.592	1.328	4328
8	3	65	4.5	1.2	2.198	1.273	4824
5	4	55	4.5	0.8	4.231	1.362	2549
1	5	55	4	1	4.135	1.324	2963
14	6	60	4.5	1	2.486	1.287	3384
10	7	60	5	0.8	3.821	1.351	2854
15	8	60	4.5	1	2.476	1.279	3174
4	9	65	5	1	3.125	1.298	3176
12	10	60	5	1.2	2.542	1.273	5137
6	11	65	4.5	0.8	3.211	1.338	2385
3	12	55	5	1	3.482	1.345	3512
13	13	60	4.5	1	2.468	1.284	3312
11	14	60	4	1.2	2.725	1.296	4825
2	15	65	4	1	3.084	1.307	3578

mixtures for the mobile phase along with adequate peak symmetry and lower peak tailing.

Optimization of HPLC method by QbD approach

The analytical target profile selected was retention time, tailing factor and theoretical plates for optimization of HPLC conditions. The Box-Behnken design was used for further the optimization of various parameters within the design space. The data analysis was carried out by selecting the quadratic model for main and interaction effects. The optimized model parameters are shown in Table 3. The model generates quadratic equation for each CAA parameter. Equation for retention time (for actual value) = 148.65 - 2.30 A - 26.81 B - 24.83 C + 0.069 A * $B + 0.16 A * C + 0.31 B * C + 0.15 A^2 + 2.45 B^2 + 5.36 C^2$. It was concluded that as $\beta 1$ negative coefficient (-2.30) suggests that the amount of mobile phase (A) decreases and $\beta 2$ negative coefficient (-26.81) suggests that as pH of buffer (B) decreases, and β 3 negative coefficient (-24.83) suggests that the flow rate (C) decreases, the value of retention time was increased (Fig. 2).

Equation for tailing factor (for actual value) = $4.50 - 0.108 \ A + 0.019 \ B + 0.25 \ C - 0.003 \ A^* B - 0.007 \ A^* \ C - 0.15 \ B^* \ C + 0.001 \ A^2 + 0.035 \ B^2 + 0.39 \ C^2$. It was concluded that as β 1 negative coefficient (-0.108) suggests that the amount of mobile phase (*A*) decreases and β 2 positive coefficient (+0.019) suggests that as pH of buffer (*B*) increases, and β 3 negative coefficient (+0.25) suggests that the flow rate (*C*) increases, the value of tailing factor was increased (Fig. 3).

Equation for theoretical plate (for actual value) = -15,518.37 + 1201.6 A - 2571.7 B - 29,593.7 C - 95.1 A * B + 165 A * C + 840 B * C - 7.69 $A^2 + 838.5$

 B^2 + 10,596.8 C^2 . It was concluded that as $\beta 1$ positive coefficient (+ 1201.6) suggests that the amount of mobile phase (*A*) increases and $\beta 2$ negative coefficient (- 2571.7) suggests that as pH of buffer (*B*) decreases, and $\beta 3$ negative coefficient (- 29,593.7) suggests that the flow rate (*C*) decreases, the value of theoretical plate was increased (Fig. 4).

The optimized solution showed the mobile phase composition containing 60:40% v/v mixture of methanol:phosphate buffer pH (4.5) and flow rate 1 ml/min yielded desirability close to 1.0 along with all the CAAs in the desired range (Table 4).

Method validation studies

Linearity

The linear calibration plot for EVO in concentration range between 10 to $60 \mu g/ml$ showed good linearity with higher value of correlation coefficient of 0.998 (Fig. 5, Table 5).

Accuracy

Accuracy data showed good percentage recovery between 98.68 to 101.06% at all three levels and % RSD value not more than 2% which indicates high degree of accuracy of developed method. Result and statistical data of accuracy are given in Table 6.

Precision

The % RSD of intraday and interday precision for EVO on three times measurements was found to be less than 2%. These results confirmed high degree of precision of the

Source	Sum of squares	df	Mean square	F-value	p value	
For retention time						
Model	6.77	9	0.7523	1757.57	< 0.0001	Significant
A—% Organic modifier	0.9955	1	0.9955	2325.66	< 0.0001	
В—рН	0.1518	1	0.1518	354.65	< 0.0001	
C—Flow rate	3.56	1	3.56	8308.80	< 0.0001	
For tailing factor						
Model	0.0121	9	0.0013	102.00	< 0.0001	Significant
A—% Organic modifier	0.0026	1	0.0026	193.89	< 0.0001	
В—рН	0.0001	1	0.0001	7.43	0.0415	
C—Flow rate	0.0047	1	0.0047	353.18	< 0.0001	
For T. plate						
Model	1.035E+07	9	1.149E+06	224.24	< 0.0001	Significant
A—% Organic modifier	46,665.12	1	46,665.12	9.10	0.0295	
В—рН	23,653.12	1	23,653.12	4.61	0.0844	
C—Flow rate	8.921E+06	1	8.921E+06	1740.34	< 0.0001	



Fig. 2 Three-dimensional surface plot for the effect of flow rate and pH on retention time



Fig. 3 Three-dimensional surface plot for the effect of flow rate and pH on tailing factor



Fig. 4 Three-dimensional surface plot for the effect of flow rate and pH on theoretical plate

Table 4 O	Fable 4 Obtained solution of optimized method							
% Organic modifier (ml)	рН	Flow rate (ml/min)	Retention time (min)	Tailing factor	Theoretical plate			
60	4.5	1.0	2.477	1.283	3290			

developed method. Table 7 illustrates the precision data of method.



Calibration curve of EVO

Fig. 5 Calibration curve of evogliptin tartrate

Evogliptin tartrate
y=10,872.77x+67,588.33
0.99

Limit of detection and limit of quantification

The limit of detection (LOD) and limit of quantification (LOQ) data for EVO as 0.691 μ g/ml and 2.095 μ g/ml thus indicate very high sensitivity of the developed method for quantification of EVO.

System suitability

System suitability data show the lack of significant difference in the peak area, retention time, theoretical plate and tailing factor of EVO with six replicates. The % RSD of all system suitability parameters were found to be less than 1% (Fig. 6a and b).

Robustness

The robustness was studied by the slight but deliberate change in parameters such as pH of mobile phase, flow rate and injection volume. The % RSD of all parameters were found to be within limit, i.e. less than 2%.

Applicability of developed method

The % assay of drug content was found to be 99.81% (n=6) for label claim of EVO. The assay result indicates selectivity of the developed RP-HPLC method for the estimation of EVO in pharmaceutical dosage form (Table 8).

Table 6 Results of accuracy study for evogliptin tartrate

Level	Amount of test taken (μg/ml)	Amount of std. added (µg/ml)	Amount of found (μg/ ml)*±SD	% Recovery ± SD	% RSD
80	20	16	35.53±0.52	98.68±1.17	1.18
100	20	20	39.81±0.36	99.52±0.73	0.74
120	20	24	44.47±0.31	101.06±0.57	0.56

*Average of three determinant

Table 7	Result	of precision	study for	' evogliptin	tartrate
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Intraday precision			Interday precision			
Concentration (µg/ml)	Mean peak area*±SD	% RSD	Concentration (µg/ml)	Mean peak area*±SD	% RSD	
20	277,381.67±2471.45	0.89	20	279,834.33±3929.13	1.4	
30	405,478.33±4170.38	1.03	30	410,992.67±2719.94	0.67	
40	504,668.67±7124.91	1.41	40	505,085.33±4650.63	0.92	

*Average of three determinant

Force degradation study

The standard solutions were applied different stress conditions mentioned in the procedure. The drug showed 12.89% degradation with 1 degraded peak in acidic conditions (Fig. 7). Under alkali condition, the drug showed 17.36% degradation with 2 degraded peak (Fig. 8). Under oxidation condition, the drug showed 12.64% degradation, and 1 degraded peak was observed (Fig. 9). In thermal and photolytic condition, the drug showed 11.15% and 10.45% degradation, respectively, and no degraded peak was observed (Figs. 10 and 11).

Discussion

The present study was to create a simple, accurate, reliable and successful application of QbD principles for the development of RP-HPLC method for the estimation of evogliptin tartrate with improved robustness and performance. The Box-Behnken design was applied for three factors at three levels with use of the Design Expert software trial version 13.0. Design of experimental results, including ANOVA, diagnostic graphs and model graphs, were examined for each factor. The effect of each factor on the response result was investigated in this results part. The developed method was applied for degradation study and adequately separated the drug with its degradation product. EVO was significantly degraded in acidic, alkali and oxidative conditions. The established RP-HPLC method was validated in terms of linearity, precision, accuracy, robustness, LOD and LOQ as per ICH Q2 R1 guidelines. Applicability of developed method for quantification of EVO in pharmaceutical formulations shown the absence of any wanted peak and no change in



5.0

Fig. 6 a Chromatogram of standard evogliptin tartrate (20 µg/ml) and b chromatogram of sample evogliptin tartrate (20 µg/ml)

4.0

3.0

Table o Analysis of marketed formulation of evoluptin tartia	Table 8	Analy	/sis of ma	rketed	formulation	of evo	aliptin	tartrate
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2.0

1.0

0.0

Name of marketed formulation	Label claim (mg)	Amount recovered*±SD	% Assay*±SD
Valera	5	4.98±0.10	99.81±1.36

retention time of the drug, thus high specification and selectivity of the method is fulfil.

8.0

9.0

min

7.0

6.0

*Average of six determinant











Conclusion

A simple, rapid, sensitive and economical analytical method has been successfully developed employing the QbD-based approach for the estimation of EVO in bulk and pharmaceutical dosage form. A detailed statistical analysis and design spaces are established through DOE for the developed method, and method is proved to be robust for wider variation in conditions. It can be effectively applied for routine analysis in research institutions, quality control department in industries and approved testing laboratories.

Abbreviations

EVO	Evogliptin tartrate
QbD	Quality by design
ICH	International Conference on Harmonization
RP-HPLC	Reverse-phase high-performance liquid chromatography
ANOVA	Analysis of variance
DOE	Design of experiments
µg/ml	Microgram per milliliter
PDA	Photodiode array

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Author contributions

KP performed analysis and validation parameters and prepared the manuscript. UAS interpreted analytical data and helped in design expert software handling. Dr. CNP provided facilities and helped in the project performance. All authors read and approved the final manuscript.

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Availability of data and materials

The data that support the findings of this study are available from the corresponding author, upon reasonable request.

Declarations

Ethics approval and consent to participate

Not applicable.

Consent for publication

The authors declare no conflict of interest.

Competing interests

The authors declare that they have no competing interests.

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